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MAY 1 9 2004

UNITED STATES DEPARTMENT OF COMMERCE
United Status Print well Tradering Office Address COMMISSIONER FOR FATER TO & BRANIGAN, P.C.

Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/785,409

2200 CLARENDON BLVD.

ARLINGTON, VA 22201

23599

SUITE 1400

02/25/2004

Stefan Moeller

LINDE-619

IFW

CONFIRMATION NO. 8360

FORMALITIES LETTER

OC000000012687876

Date Mailed: 05/17/2004

7/17/04

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

MILLEN, WHITE, ZELANO & BRANIGAN, P.C.

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

09/22/2004 MBELETE1 00000072 10785409

01 FC:1001 02 FC:1051 770.00 DP

130.00 OP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stefan MOELLER et al.

Group Art Unit 3753

Serial No.: 10/785,409

Examiner: unknown

Filed: February 25, 2004

For: HEAT EXCHANGER

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts dated May 17, 2004:

- Attached is a Declaration and Power of Attorney in compliance with 37 CFR § Ø 1.53 along with the late filing surcharge of \$130.00
- Applicant(s) include the filing fee of \$770.00. \bowtie
- Applicant(s) include the extra claims fee of \$ for claims in excess of 20 \$18/\$9 per additional claim, for independent claims in excess of 3 \$84/\$42 per additional claim, and/or \$280 for multiple dependent claims.
- Applicant(s) include an executed assignment and Recordation Form Cover Sheet \boxtimes with the recordation fee of \$40.00 (Check No. 32521).
- Attached is a copy of the Notice to File Missing Parts. ☒
- Applicant(s) request that the time for taking action in this case be extended \boxtimes pursuant to 37 CFR 1.136(a) for a period of three months along with the fee of \$950.00.
- Applicant(s) are entitled to small entity status.
- Attached is a verified English translation of the language text filed on along with the fee of \$130.00.
- Applicant(s) provide the title of the invention/inventor(s) name(s) and address(es) on the attached provisional application cover sheet along with the \$50/\$25 surcharge.

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09/22/2004 MBELETE1 00000072 10785409

03 FC:1253

	Attached is the Sequence Disclosure as required.
	Attached is the Substitute Specification as required.
	Attached are the substitute drawings as required.
	Attached is an English translation of the application.
⊠	Attached are certified copies of German Application No. 10308015.5 filed on February 25, 2003 and German Application No. 03012311.1 filed on May 28, 2003. Acknowledgment of receipt of these documents is hereby requested.

Attached is a check in the amount of \$1,850.00. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

I. William Millen, Reg. No. 19,544 Attorney/Agent for Applicants

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Attorney Docket No.: LINDE-0619

Date: September 20, 2004

IWM:ssr

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